

# **FOR SPACE LEASE APPLICATIONS ONLY**

(This form is to be filed by slot route operators with all space lease applications and with any notification of a change in landlord, pursuant to NGC Regulation 3.020(4), at a licensed space lease location.)

## **Due Diligence Form Pursuant to NGC Regulation 5.011(5)**

**Location Name:** \_\_\_\_\_

### **5.011 Grounds for disciplinary action.**

The board and the commission deem any activity on the part of any licensee, his agents or employees, that is inimical to the public health, safety, morals, good order and general welfare of the people of the State of Nevada, or that would reflect or tend to reflect discredit upon the State of Nevada or the gaming industry, to be an unsuitable method of operation and shall be grounds for disciplinary action by the board and the commission in accordance with the Nevada Gaming Control Act and the regulations of the board and the commission. Without limiting the generality of the foregoing, the following acts or omissions may be determined to be unsuitable methods of operation:

*5. Catering to, assisting, employing or associating with, either socially or in business affairs, persons of notorious or unsavory reputation or who have extensive police records, or persons who have defied congressional investigative committees, or other officially constituted bodies acting on behalf of the United States, or any state, or persons who are associated with or support subversive movements, or the employing either directly or through a contract, or any other means, of any firm or individual in any capacity where the repute of the State of Nevada or the gaming industry is liable to be damaged because of the unsuitability of the firm or individual or because of the unethical methods of operation of the firm or individual.*

Pursuant to the above-cited regulation, are there any events in the background of the owners, operators, or managers of this location that could possibly be deemed as unsuitable? ☐ Yes ☐ No

Examples of events that may be deemed unsuitable include, but are not limited to:

- ❖ Arrest Record
- ❖ Felony convictions (Criminal record)
- ❖ Tax Liens
- ❖ Revocation or denial of a privileged or professional license
- ❖ Multiple bankruptcies
- ❖ Associations
- ❖ Immigration issues

If yes, please provide details: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Due Diligence Form Pursuant to NGC Regulation 5.011(5)

Location Name: \_\_\_\_\_

Completed by:

\_\_\_\_\_  
Printed Name/Title  
(Operator of Slot Machine Route)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name/Title of Owner-Principal  
(Business Operator)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Company Name  
(Business Operator)